



One Identity Safeguard for Privileged  
Sessions 7.0 LTS

YubiKey Multi-Factor Authentication -  
Tutorial

**Copyright 2022 One Identity LLC.**

**ALL RIGHTS RESERVED.**

This guide contains proprietary information protected by copyright. The software described in this guide is furnished under a software license or nondisclosure agreement. This software may be used or copied only in accordance with the terms of the applicable agreement. No part of this guide may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying and recording for any purpose other than the purchaser's personal use without the written permission of One Identity LLC .

The information in this document is provided in connection with One Identity products. No license, express or implied, by estoppel or otherwise, to any intellectual property right is granted by this document or in connection with the sale of One Identity LLC products. EXCEPT AS SET FORTH IN THE TERMS AND CONDITIONS AS SPECIFIED IN THE LICENSE AGREEMENT FOR THIS PRODUCT, ONE IDENTITY ASSUMES NO LIABILITY WHATSOEVER AND DISCLAIMS ANY EXPRESS, IMPLIED OR STATUTORY WARRANTY RELATING TO ITS PRODUCTS INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTY OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, OR NON-INFRINGEMENT. IN NO EVENT SHALL ONE IDENTITY BE LIABLE FOR ANY DIRECT, INDIRECT, CONSEQUENTIAL, PUNITIVE, SPECIAL OR INCIDENTAL DAMAGES (INCLUDING, WITHOUT LIMITATION, DAMAGES FOR LOSS OF PROFITS, BUSINESS INTERRUPTION OR LOSS OF INFORMATION) ARISING OUT OF THE USE OR INABILITY TO USE THIS DOCUMENT, EVEN IF ONE IDENTITY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES. One Identity makes no representations or warranties with respect to the accuracy or completeness of the contents of this document and reserves the right to make changes to specifications and product descriptions at any time without notice. One Identity does not make any commitment to update the information contained in this document.

If you have any questions regarding your potential use of this material, contact:

One Identity LLC.  
Attn: LEGAL Dept  
4 Polaris Way  
Aliso Viejo, CA 92656

Refer to our Web site (<http://www.OneIdentity.com>) for regional and international office information.

**Patents**

One Identity is proud of our advanced technology. Patents and pending patents may apply to this product. For the most current information about applicable patents for this product, please visit our website at <http://www.OneIdentity.com/legal/patents.aspx>.

**Trademarks**

One Identity and the One Identity logo are trademarks and registered trademarks of One Identity LLC. in the U.S.A. and other countries. For a complete list of One Identity trademarks, please visit our website at [www.OneIdentity.com/legal](http://www.OneIdentity.com/legal). All other trademarks are the property of their respective owners.

**Legend**

-  **WARNING: A WARNING icon highlights a potential risk of bodily injury or property damage, for which industry-standard safety precautions are advised. This icon is often associated with electrical hazards related to hardware.**
-  **CAUTION: A CAUTION icon indicates potential damage to hardware or loss of data if instructions are not followed.**

SPS YubiKey Multi-Factor Authentication - Tutorial  
Updated - 22 July 2022, 11:42  
Version - 7.0 LTS

# Contents

<b>Introduction</b> .....	<b>5</b>
<b>Technical requirements</b> .....	<b>7</b>
<b>Detailed overview of SPS interworking with YubiKey</b> .....	<b>9</b>
<b>Notable features</b> .....	<b>11</b>
<b>Configure your YubiKey account for SPS</b> .....	<b>12</b>
<b>Configure SPS to use YubiKey multi-factor authentication</b> .....	<b>13</b>
<b>SPS YubiKey plugin parameter reference</b> .....	<b>15</b>
[yubikey] .....	16
[auth] .....	18
[connection_limit by=client_ip_gateway_user] .....	19
[authentication_cache] .....	20
[WHITELIST] .....	21
[whitelist source=user_list] .....	22
[whitelist source=ldap_server_group] .....	23
[USERMAPPING] .....	25
[usermapping source=explicit] .....	25
[usermapping source=ldap_server] .....	26
[username_transform] .....	26
[ldap_server] .....	27
[credential_store] .....	28
[logging] .....	28
[https_proxy] .....	29
[question_1] .....	30
<b>Store sensitive plugin data securely</b> .....	<b>32</b>
<b>Perform multi-factor authentication with the SPS YubiKey plugin in terminal connections</b> .....	<b>33</b>
<b>Perform multi-factor authentication with the SPS YubiKey plugin in Remote Desktop (RDP) connections</b> .....	<b>34</b>

**Perform multi-factor authentication with the SPS YubiKey plugin in Microsoft SQL Server (MSSQL) connections ..... 35**

**About us ..... 36**

**Contacting us ..... 37**

**Technical support resources ..... 38**

# Introduction

This document describes how you can use the services of [Yubico \(YubiKey\)](#) to authenticate the sessions of your privileged users with One Identity Safeguard for Privileged Sessions (SPS).

## One Identity Safeguard for Privileged Sessions:

One Identity Safeguard for Privileged Sessions (SPS) controls privileged access to remote IT systems, records activities in searchable, movie-like audit trails, and prevents malicious actions. SPS is a quickly deployable enterprise device, completely independent from clients and servers — integrating seamlessly into existing networks. It captures the activity data necessary for user profiling and enables full user session drill down for forensic investigations.

SPS acts as a central authentication gateway, enforcing strong authentication before users access sensitive IT assets. SPS can integrate with remote user directories to resolve the group memberships of users who access nonpublic information. Credentials for accessing information systems can be retrieved transparently from SPS's local Credential Store or a third-party password management system. This method protects the confidentiality of passwords as users can never access them. When used together with YubiKey (or another Multi-Factor Authentication (MFA) provider), SPS directs all connections to the authentication tool, and upon successful authentication, it permits the user to access the information system.

## Integrating YubiKey with SPS:

SPS can interact with your YubiKey account and can automatically request strong Multi-Factor Authentication for your privileged users who are accessing the servers and services protected by SPS. When used together with YubiKey, SPS prompts the user for a second factor authentication, and upon successful authentication, it permits the user to access the information system.

The integration adds an additional security layer to the gateway authentication performed on SPS. YubiKey 4, YubiKey 4 Nano, and YubiKey NEO devices are pre-configured with the Yubico one-time password (OTP) (all other YubiKeys, except for the FIDO U2F Security Key by Yubico, also support Yubico OTP). The OTP will be used for authentication to the One Identity platform. This way, the device turns into a two-factor authentication token for the user. The one-time password is changed after every authentication and is generated using dynamic keys.

## Meet compliance requirements

ISO 27001, ISO 27018, SOC 2, and other regulations and industry standards include authentication-related requirements, (for example, Multi-Factor Authentication (MFA) for accessing production systems, and the logging of all administrative sessions). In addition to other requirements, using SPS and YubiKey helps you comply with the following requirements:

- PCI DSS 8.3: Secure all individual non-console administrative access and all remote access to the cardholder data environment (CDE) using MFA.
- PART 500.12 Multi-Factor Authentication: Covered entities are required to apply MFA for:
  - Each individual accessing the covered entity's internal systems.
  - Authorized access to database servers that allow access to nonpublic information.
  - Third parties accessing nonpublic information.
- NIST 800-53 IA-2, Identification and Authentication, network access to privileged accounts: The information system implements MFA for network access to privileged accounts.
- The General Data Protection Regulation (GDPR) went into effect on May 25, 2018, and is applicable to organizations keeping Personally identifiable information (PII) and offering goods or services to individuals based in the EU.

YubiKey provides strong authentication to secure access to PII and comply with GDPR.

- The Defense FAR Supplement (DFARS) clause went into effect on December 31, 2017, and is applicable to US Department of Defense (DoD) contractors to protect unclassified DoD information and minimize loss of information.

The multi-protocol YubiKey meets DFARS requirements for strong authentication, and is the only hardware authentication solution to meet DoD contractor security requirements.

- The revised Directive on Payment Services (PSD2) provides recommendations on standardized access to customer data and banking infrastructure, including draft regulatory technical standards specifying the requirements of strong customer authentication (SCA).

Yubico and FIDO are playing active roles in the PSD2 framework with proven technology.

# Technical requirements

In order to successfully connect SPS with RADIUS server, you need the following components.

## In YubiKey:

- The users must have a YubiKey device and a means to map usernames to YubiKey Public IDs. For details, see [\[USERMAPPING\]](#).
- The YubiKey Client ID and API Key.

For details on generating your Client ID and API Key, see [Obtaining an API Key for YubiKey Development](#).

To generate your Client ID and API Key, authenticate yourself using a Yubikey One-Time Password and provide your e-mail address as a reference at [Yubico get API key](#).

A Yubico OTP is a 44-character, one-use, secure, 128-bit encrypted Public ID and Password. The OTP is comprised of two major parts: the first 12 characters remain constant and represent the Public ID of the YubiKey device itself. The remaining 32 characters make up a unique passcode for each OTP generated.

For example, in the following Yubico OTP, the characters `cccjgjkghcbb` are the Public ID, and the remaining characters are the passcode.

```
cccjgjkghcbbirdrfdnlnghhfggrtnnlgedjlftrbdeut
```

- YubiKey does not require network connectivity or access to a mobile phone device. Just touch or tap the YubiKey device to authenticate.

## In SPS:

- A copy of the SPS YubiKey Multi-Factor Authentication plugin. This plugin is an Authentication and Authorization (AA) plugin customized to work with the YubiKey multi-factor authentication service.
- SPS must be able to access the validation service.  
The connection also requires the Client ID and API Ke.
- SPS supports AA plugins in the MSSQL, RDP, SSH, and Telnet protocols.
- In RDP, using an **AA plugin** together with Network Level Authentication in a Connection Policy has the same limitations as using Network Level Authentication without domain membership.
- In RDP, using an **AA plugin** requires TLS-encrypted RDP connections. For details, see ["Enabling TLS-encryption for RDP connections" in the Administration Guide](#).

## Availability and support of the plugin

The SPS YubiKey Multi-Factor Authentication plugin is available for download as-is, free of charge to every SPS customer from the [YubiKey Multi-Factor Authentication plugin for SPS](#) page. In case you need any customizations or additional features, [contact our Support Team](#).

### CAUTION:

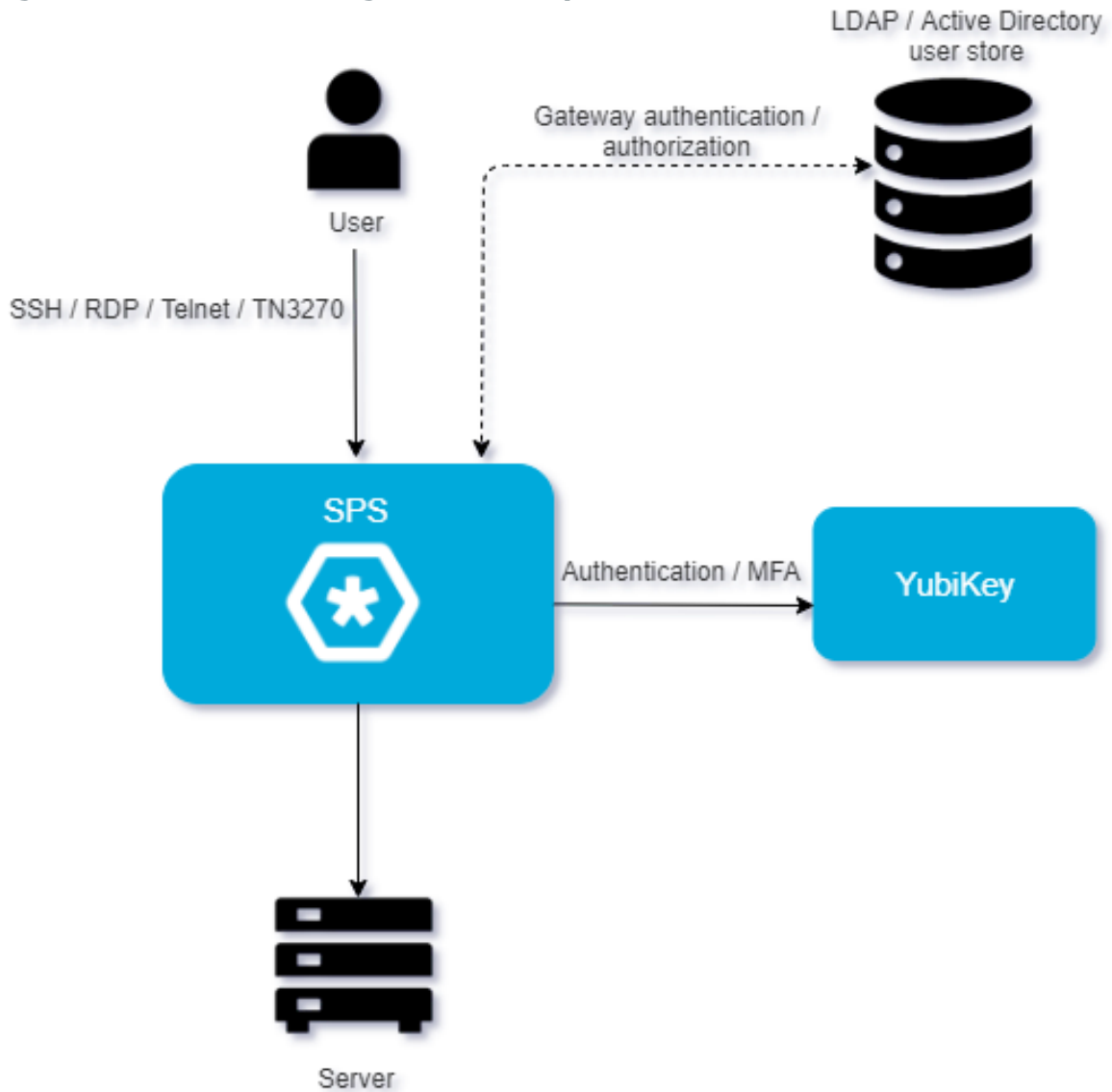
**Using custom plugins in SPS is recommended only if you are familiar with both Python and SPS. Product support applies only to SPS: that is, until the entry point of the Python code and passing the specified arguments to the Python code. One Identity is not responsible for the quality, resource requirements, or any bugs in the Python code, nor any crashes, service outages, or any other damage caused by the improper use of this feature, unless explicitly stated in a contract with One Identity. If you want to create a custom plugin, [contact our Support Team](#) for details and instructions.**



# Detailed overview of SPS interworking with YubiKey

The following figure illustrates how SPS and YubiKey interwork with each other.

**Figure 1: SPS interworking with YubiKey**



If SPS is integrated with YubiKey, the interaction of the two products consists of the following steps:

1. Connect to a protected server.

2. SPS performs gateway authentication.

SPS receives the connection request and authenticates you. SPS can authenticate you to a number of external user directories (for example: LDAP, Microsoft Active Directory, or RADIUS). This is the first factor of authentication.

3. SPS checks if you are exempt from multi-factor authentication.

You can configure SPS using whitelists and blacklists to selectively require multi-factor authentication (for example, to create break-glass access for specific users).

- If multi-factor authentication is not required, you can access the protected server, while SPS records your activities. The procedure ends here.
- If multi-factor authentication is required, SPS continues the procedure with the next step.

For details on creating exemption lists, see [\[WHITELIST\]](#) on page 21.

4. Configure the YubiKey plugin to map the gateway usernames to the YubiKey external identity.

The gateway usernames are different from the external YubiKey identities. You must configure the SPS YubiKey plugin to map the gateway usernames to the external YubiKey identities.

The external identity is the YubiKey Public ID, which is 12 lowercase letters.

To map the gateway username to the external identity, query an LDAP or Microsoft Active Directory server, or if applicable, append a domain name to the gateway username.

For details, see [\[USERMAPPING\]](#) on page 25.

5. SPS performs outband authentication on YubiKey.

If gateway authentication is successful, SPS connects the YubiKey server to check which authentication factors are available for you. After that, SPS requests the second authentication factor from you.

- For OTP-like authentication factors, SPS requests the OTP from you, and sends it to the YubiKey server for verification.

6. If multi-factor authentication is successful, you can connect to the protected server, while SPS records your activities.

Optionally, SPS can retrieve credentials from a local or external Credential Store or password vault, and perform authentication on the server with credentials that are not known to you.

7. If you open a new session within a short period, you can do so without having to perform multi-factor authentication again. After this configurable grace period expires, you must perform multi-factor authentication to open the next session.

For details, see [\[authentication\\_cache\]](#) on page 20.

## Notable features

This section contains the notable features of this plugin.

- To map the gateway usernames to the external YubiKey identities if the gateway usernames are different from the YubiKey usernames, configure the [\[USERMAPPING\]](#) on page 25 section of the plugin.
- The [\[WHITELIST\]](#) on page 21 section allows configuring authentication whitelists and blacklists for example to create break-glass access for specific users to allow them to bypass YubiKey authentication.
- The [\[authentication\\_cache\]](#) on page 20 section contains the settings that determine how soon after performing a YubiKey authentication must the user repeat the authentication when opening a new session.
- The [\[connection\\_limit by=client\\_ip\\_gateway\\_user\]](#) on page 19 section contains the options related to limiting parallel sessions.

# Configure your YubiKey account for SPS

## Prerequisites:

The users must have a YubiKey device and a means to map usernames to YubiKey Public IDs. For details, see [\[usermapping source=explicit\]](#), [\[usermapping source=ldap\\_server\]](#) and [\[ldap\\_server\]](#) .

## To configure your YubiKey account for SPS

1. Generate the YubiKey Client ID and API Key.

For details on generating your Client ID and API Key, see [Obtaining an API Key for YubiKey Development](#).

To generate your Client ID and API Key, authenticate yourself using a Yubikey One-Time Password and provide your e-mail address as a reference at [Yubico get API key](#).

A Yubico OTP is a 44-character, one-use, secure, 128-bit encrypted Public ID and Password. The OTP is comprised of two major parts: the first 12 characters remain constant and represent the Public ID of the YubiKey device itself. The remaining 32 characters make up a unique passcode for each OTP generated.

For example, in the following Yubico OTP, the characters `cccjgjkhcbb` are the Public ID, and the remaining characters are the passcode.

```
cccjgjkhcbbirdrfdnlnghhfgrtnnlgedjlftrbdeut
```

2. YubiKey does not require network connectivity or access to a mobile phone device. Just touch or tap the YubiKey device to authenticate.

# Configure SPS to use YubiKey multi-factor authentication

## Prerequisites:

- Your YubiKey Client ID and API Key.

**⚠ CAUTION:**

According to the current YubiKey policies, your API token expires if it is not used for 30 days. Make sure that you use it regularly, because SPS will reject your sessions if the API token is expired.

- Administrator access to SPS.
- Make sure that you have all the required components listed in [Technical requirements](#).

## To configure SPS to use YubiKey multi-factor authentication

### 1. Download the SPS YubiKey plugin

SPS customers can [download the official plugin from GitHub](#).

### 2. Upload the plugin to SPS

Upload the plugin to SPS. For details, see "[Using a custom Authentication and Authorization plugin to authenticate on the target hosts](#)" in the [Administration Guide](#).

### 3. Configure the plugin on SPS

The plugin includes a default configuration file, which is an ini-style configuration file with sections and name=value pairs. You can edit it on the **Policies > AA Plugin Configurations** page of the SPS web interface.

- a. Configure the usermapping settings if needed. SPS must find out which YubiKey user belongs to the username of the authenticated connection. For that, it can query your LDAP/Microsoft Active Directory server. For details, see

[USERMAPPING].

- b. Configure other parameters of your plugin as needed for your environment. For details, see [SPS YubiKey plugin parameter reference](#).

#### 4. Configure a Connection policy and test it

Configure a Connection policy on SPS. In the **AA plugin** field of the Connection policy, select the SPS YubiKey plugin you configured in the previous step, then start a session to test it. For details on how a user can perform multi-factor authentication, see [Perform multi-factor authentication with the SPS YubiKey plugin in terminal connections](#) and [Perform multi-factor authentication with the SPS YubiKey plugin in Remote Desktop \(RDP\) connections](#).

**⚠ CAUTION:**

**According to the current YubiKey policies, your API token expires if it is not used for 30 days. Make sure that you use it regularly, because SPS will reject your sessions if the API token is expired.**

## SPS YubiKey plugin parameter reference

This section describes the available options of the SPS YubiKey plugin.

The plugin uses an ini-style configuration file with sections and name=value pairs. This format consists of sections, led by a [section] header and followed by name=value entries. Note that the leading whitespace is removed from values. The values can contain format strings, which refer to other values in the same section. For example, the following section would resolve the %(dir)s value to the value of the dir entry (/var in this case).

```
[section name]
dirname=%(dir)s/mydirectory
dir=/var
```

All reference expansions are done on demand. Lines beginning with # or ; are ignored and may be used to provide comments.

You can edit the configuration file from the SPS web interface. The following code snippet is a sample configuration file.

```
[yubikey]
client_id=<YubiKey-Client-ID>
api_key=$
api_urls=<API-URL1,API-URL2>
timeout=10

[auth]
prompt=Press Enter for push notification or type one-time password:
disable_echo=no

[connection_limit by=client_ip_gateway_user]
limit=0

[authentication_cache]
hard_timeout=90
soft_timeout=15
reuse_limit=0
```

```

#####[WHITELIST]#####

[whitelist source=user_list]
name=<name-of-user-list-policy>

[whitelist source=ldap_server_group]
allow=no_user
except=<group-1>,<group-2>

#####[USERMAPPING]#####

[usermapping source=explicit]
<user-name-1>=<id-1>
<user-name-2>=<id-2>

[usermapping source=ldap_server]
user_attribute=description

[username_transform]
append_domain=<domain-without-@-character>

[ldap_server]
name=<name-of-LDAP-server-policy>

[credential_store]
name=<name-of-credential-store-policy-that-hosts-sensitive-data>

[logging]
log_level=info

[https_proxy]
server=<proxy-server-name-or-ip>
port=3128

[question_1]
key=<name-of-name-value-pair>
prompt=<the-question-itself-in-text>
disable_echo=No

[question_2]...

```

## [yubikey]

This section contains the options related to your YubiKey account.



```
[yubikey]
client_id=<API-integration-key>
# Do NOT use api_key in production
; api_key=<API-security-key>
api_urls=<API-URL>
timeout=10
```

## client\_id

Type:	string
Required:	yes
Default:	N/A

*Description:* Your YubiKey Client ID (also known as AuthID or API ID).

For details on generating your Client ID and API Key, see [Obtaining an API Key for YubiKey Development](#).

To generate your Client ID and API Key, authenticate yourself using a Yubikey One-Time Password and provide your e-mail address as a reference at [Yubico get API key](#).

## api\_key

Type:	string
Required:	no   yes for testing purposes
Default:	N/A

### ⚠ CAUTION:

**This parameter contains sensitive data. Make sure to store this data in your local Credential Store. Type the \$ value for this parameter in production.**

**For details, see [Store sensitive plugin data securely](#).**

**Only enter a value different than \$ for this parameter in the configuration for testing purposes in a secure, non-production environment.**

*Description:* Your YubiKey API key. SPS uses this to communicate with the YubiKey server. For details on using a local Credential Store to host this data, read [Store sensitive plugin data securely](#).

For details on generating your Client ID and API Key, see [Obtaining an API Key for YubiKey Development](#).

To generate your Client ID and API Key, authenticate yourself using a Yubikey One-Time Password and provide your e-mail address as a reference at [Yubico get API key](#).

**⚠ CAUTION:**

According to the current YubiKey policies, your API token expires if it is not used for 30 days. Make sure that you use it regularly, because SPS will reject your sessions if the API token is expired.

## api\_urls

Type:	string
Required:	no
Default:	N/A

*Description:* The default configuration uses Yubico's Cloud validation servers. You can also host your own YubiKey validation server. For details on hosting your own server, see [YubiKey OTP Validation Server](#). If you decide to host your own, use this option to enter a comma-separated list of URLs that point to a YubiKey validation service. Multiple URLs will be attempted in parallel, the first response wins.

## timeout

Type:	integer [seconds]
Required:	no
Default:	10

*Description:* How long the plugin waits for YubiKey server to respond.

# [auth]

This section contains the options related to authentication.

## Declaration

```
[auth]
prompt=Press Enter for push notification or type one-time password:
disable_echo=yes
```

## prompt

Type: string

Required: no

Default: Press Enter for push notification or type one-time password:

*Description:* SPS displays this text to the user in a terminal connection to request an OTP interactively. The text is displayed only if the user uses an OTP-like factor, and does not send the OTP in the connection request.

## disable\_echo

Type: boolean (yes|no)

Required: no

Default: no

*Description:* For better security, you can hide the characters (OTP or password) that the user types after the prompt. To hide the characters (replace them with asterisks), set `disable_echo` to `yes`.

# [connection\_limit by=client\_ip\_gateway\_user]

This section contains the options related to limiting parallel sessions.

## Declaration

```
[connection_limit by=client_ip_gateway_user]
limit=0
```

## limit

Type: integer

Required: no

Default: 0

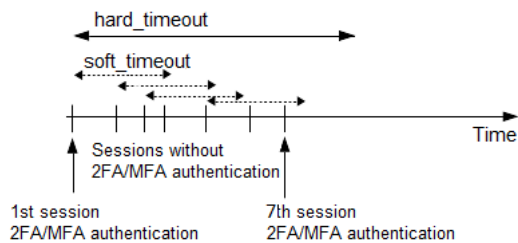
*Description:* To limit the number of parallel sessions the gateway user can start from a given client IP address, configure `limit`. For an unlimited number of sessions, type `0`.

## [authentication\_cache]

This section contains the settings that determine how soon after performing a 2FA/MFA authentication the user must repeat the authentication when opening a new session.

After the first YubiKey authentication of the user, SPS will not request a new YubiKey authentication from the user as long as the new authentications happen within `soft_timeout` seconds from each other. After the `hard_timeout` expires (measured from the first YubiKey login of the user), SPS will request a new YubiKey authentication.

In other words, after opening the first session and authenticating on YubiKey, the user can keep opening other sessions without having to authenticate again on YubiKey as long as the time between opening any two sessions is less than `soft_timeout`, but must authenticate on YubiKey if `hard_timeout` expires.



### Declaration

```
[authentication_cache]
soft_timeout=15
hard_timeout=90
reuse_limit=5
```

### soft\_timeout

Type:	integer [in seconds]
Required:	yes, if you want caching
Default:	N/A
Min value:	0
Max value:	2147483647

*Description:* The time in seconds after which the SPS plugin requires a new YubiKey authentication for the next new session of the user, unless the user successfully authenticates another session within this period.

## hard\_timeout

Type:	integer [in seconds]
Required:	yes, if you want caching
Default:	N/A
Min value:	0
Max value:	2147483647

*Description:* The time in seconds after which the SPS plugin requires a new YubiKey authentication for the next new session of the user. The time is measured from the last YubiKey authentication of the user.

## reuse\_limit

Type:	integer [number of]
Required:	Optional
Default:	0
Min value:	0
Max value:	2147483647

*Description:* The number of times that you can reuse the authentication cache before the SPS plugin requires from you a new authentication for the next session. The default is 0, which means that the authentication cache is not unlimited, but it is turned off.

In the example, if `reuse_limit` is set to 5, and you successfully authenticated with multi-factor authentication, the next 5 authentications are bypassed in the next 90 seconds (`hard_timeout`), if there is no gap bigger than 15 seconds (`soft_timeout`) between the authentications.

If any of the `hard_timeout`, `soft_timeout`, or `reuse_limit` parameters, which operate independently from one another, exceed the configured limit, the SPS plugin requires you to authenticate for the new session.

# [WHITELIST]

Having to perform multi-factor authentication to a remote server every time the user opens a session can be tedious and inconvenient for the users, and can impact their productivity.

SPS offers the following methods to solve this problem:

- In SPS, the Connection policy determines the type of authentication required to access a server. If you do not need multi-factor authentication for accessing specific servers, configure your Connection policies accordingly.
- If the user opens a new session within a short period, they can do so without having to perform multi-factor authentication. After this configurable grace period expires, the user must perform multi-factor authentication to open the next session. For details, see [\[authentication\\_cache\]](#).
- The [\[whitelist source=user\\_list\]](#) and [\[whitelist source=ldap\\_server\\_group\]](#) sections allow configuring authentication whitelists and blacklists based on a **User List** policy or an **LDAP Server** policy. These two sections are independent, therefore any of the two can be configured and, for example, can create break-glass access for specific users to allow them to bypass YubiKey authentication.

## [whitelist source=user\_list]

The [\[whitelist source=user\\_list\]](#) section allows whitelisting users based on a **User List** policy configured in SPS (**Policies > User Lists**). To enable this whitelist, configure one of the use cases below.

**| NOTE:** The user names are compared to the **User List** in a case-sensitive manner.

### Declaration

```
[whitelist source=user_list]
name=<name-of-user-list-policy>
```

For details on creating user lists, see ["Creating and editing user lists" in the Administration Guide](#).

### name

Type:	string
Required:	no
Default:	N/A

*Description:* The name of a **User List** policy containing gateway users configured on SPS (**Policies > User Lists**). You can use this option to selectively require multi-factor authentication for your users (for example, to create break-glass access for specific users).

### Use case #1: Allow no user except certain users

To allow specific users to connect without providing YubiKey credentials, the **User List** policy should have the following settings:

- Set **Allow** to **No user** and list the users in the **Except** list.
- Then type the name of this **User List** policy as the value of the [name](#) parameter.

### Use case #2: Allow all users except certain users

To enforce YubiKey authentication for selected users, the **User List** policy should have the following settings:

- Set **Allow** to **All users** and list the users in the **Except** list.
- Then type the name of this **User List** policy as the value of the [name](#) parameter.

## [whitelist source=ldap\_server\_group]

The [whitelist source=ldap\_server\_group] section allows whitelisting users based on **LDAP Server** group membership. To enable this whitelist, configure one of the use cases below.

**NOTE:** The user names and groups are compared in LDAP in a case-insensitive manner.

### Declaration

```
[whitelist source=ldap_server_group]
allow=<no_user-or-all_users>
except=<group-1>,<group-2>
```

### allow

Type:	string (all_users   no_users)
Required:	no
Default:	N/A

*Description:* This parameter defines whether to allow all users or no user to connect without providing YubiKey credentials. Used together with the [except](#) parameter, you can define specific LDAP/AD group(s) that are exempt from this rule.

## except

Type:	string
Required:	no
Default:	N/A

*Description:* This parameter defines those specific LDAP/AD group(s) that are exempt from the rule defined by the [allow](#) parameter.

### Use case #1: Allow no user except members of specific group(s)

To allow members of specific LDAP/AD group(s) to connect without providing YubiKey credentials, type the names of these LDAP/AD groups as values of the `except` parameter and set the `allow` parameter to `no_user`:

```
[whitelist source=ldap_server_group]
allow=<no_user>
except=<group-1>,<group-2>
```

You must configure the name of the LDAP Server policy in the `[ldap_server]` section.

### Use case #2: Allow all users except members of specific group(s)

To enforce YubiKey authentication only on members of specific LDAP/AD group(s), type the names of these LDAP/AD groups as values of the `except` parameter and set the `allow` parameter to `all_users`:

```
[whitelist source=ldap_server_group]
allow=<all_users>
except=<group-1>,<group-2>
```

You must configure the name of the LDAP Server policy in the `[ldap_server]` section.



# [USERMAPPING]

By default, SPS assumes that the external YubiKey identity of the user is the same as the gateway username (that is, the username the user used to authenticate on SPS during the gateway authentication). If there was no gateway authentication, then the server username is used for authentication.

The gateway usernames are different from the external YubiKey identities. You must configure the SPS YubiKey plugin to map the gateway usernames to the external YubiKey identities.

The external identity is the YubiKey Public ID, which is 12 lowercase letters.

You can use the following methods:

- Explicit mapping: `[usermapping source=explicit]`
- LDAP server mapping: `[usermapping source=ldap]`

To look up the external YubiKey identity of the user from an LDAP/Active Directory database, configure the `[usermapping source=ldap_server]` section of the SPS YubiKey plugin.

The Explicit method has priority over the LDAP server method.

If you have configured neither the `append_domain` parameter nor any of the `[USERMAPPING]` sections, SPS assumes that the external YubiKey identity of the user is the same as the gateway username.

## [usermapping source=explicit]

To map the gateway user name to an external YubiKey identity, configure the following name-value pairs.

### Declaration

```
[usermapping source=explicit]
<example-user-1>=<ID-1>
<example-user-2>=<ID-2>
```

### <exampleuser>

Type:	string
Required:	no
Default:	N/A

*Description:* To map the gateway user name to an external YubiKey identity, configure the name-value pairs in the following way:

- Type the gateway user name instead of <example-user-1>.
- Type the external YubiKey ID instead of <ID-1>.

**NOTE:** Use this option only if there are not only a few users, or for testing purposes. If there are too many users, it can cause performance issues.

## [usermapping source=ldap\_server]

To look up the external YubiKey identity of the user from an LDAP/Active Directory database, configure the [usermapping source=ldap\_server] section of the SPS YubiKey plugin.

### Declaration

```
[usermapping source=ldap_server]
user_attribute=description
```

You must configure the name of the LDAP Server policy in the [ldap\_server] section.

If you configure both the `append_domain` parameter in the [username\_transform] section and the [usermapping source=ldap\_server] section of the SPS YubiKey plugin, SPS appends the @ character and the value of the `append_domain` parameter to the value retrieved from the LDAP database.

### user\_attribute

Type:	string
Required:	no
Default:	N/A

*Description:* The `user_attribute` must be an LDAP/AD user attribute (with a non-empty UTF8 attribute string) that contains the external identity. For example, `description`, `cn`, `mail`. For a complete list see the [User class](#) section of the Active Directory Schema document.

## [username\_transform]

This section contains username transformation-related settings.

## Declaration

```
[username_transform]
append_domain=<domain-without-@-character>
```

If you have configured [\[USERMAPPING\]](#), the `[username_transform]` process will run after the [\[USERMAPPING\]](#) process.

## append\_domain

Type:	string (nonrequired, no default)
Required:	no
Default:	N/A

### Description:

If the YubiKey service requires the use of domain name in the external YubiKey identity, configure the `append_domain` parameter in the `[username_transform]` section. In this case, SPS automatically appends the @ character and the value of this option to the username from the session, and uses the resulting username on the YubiKey server to authenticate the user. For example, if the domain is set to `append_domain: example.com` and the username is `Example.User`, the SPS plugin will look for the user `Example.User@example.com` on the YubiKey server.

If you configure both the `append_domain` parameter in the `[username_transform]` section and the `[usermapping source=ldap_server]` section of the SPS YubiKey plugin, SPS appends the @ character and the value of the `append_domain` parameter to the value retrieved from the LDAP database.

## [ldap\_server]

The LDAP Server policy that you want to use in an LDAP server usermapping source or an LDAP server group whitelist source. Required if you have configured [\[usermapping source=ldap\\_server\]](#) on page 26 and [\[whitelist source=ldap\\_server\\_group\]](#) on page 23.

## Declaration

```
[ldap_server]
name=<name-of-LDAP-server-policy>
```

## name

Type:	string
Required:	conditional
Default:	N/A

*Description:* The name of a configured LDAP Server policy in SPS. For details on configuring LDAP policies, see "[Authenticating users to an LDAP server](#)" in the *Administration Guide*.

## [credential\_store]

This section contains settings related to storing sensitive information of the plugin.

### Declaration

```
[credential_store]  
name=<name-of-credential-store-policy-that-hosts-sensitive-data>
```

## name

Type:	string
Required:	no
Default:	N/A

*Description:* The name of a local Credential Store policy configured on SPS. You can use this Credential Store to store sensitive information of the plugin in a secure way (for example, the `api_key` value in the `[yubikey]` section).

For details, see [Store sensitive plugin data securely](#).

## [logging]

This section contains logging-related settings.

## Declaration

```
[logging]
log_level=info
```

## log\_level

Type:	integer or string
Required:	no
Default:	info

*Description:* The logging verbosity of the plugin. The plugin sends the generated log messages to the SPS syslog system. You can check the log messages in the **Basic settings > Troubleshooting > View log files** section of the SPS web interface. To show only the messages generated by the plugins, filter on the `plugin: string`.

The possible values are:

- debug
- info
- warning
- error
- critical

For details, see Python logging API's log levels: [Logging Levels](#).

## [https\_proxy]

This section contains HTTPS proxy-related settings.

## Declaration

```
[https_proxy]
server=<proxy-server-name-or-ip>
port=3128
```

## server

Type: string

Required:	no
Default:	N/A

*Description:* The name or IP address of the HTTPS proxy server.

### name

Type:	integer
Required:	no
Default:	3128

*Description:* The port number of the HTTPS proxy server.

## [question\_1]

**NOTE:** To configure this optional section, [contact our Support Team](#).

To request additional information from the user (for example, ticket number), define one or more [question\_] section (for example, [question\_1], [question\_2]). The user input will be stored under the value of key in the questions section of the session cookie.

*Description:* Used for communication between plugins. This is an interactive request/response right after authentication in order to supply data to Credential Store plugins. The question is transferred to the session cookie and all hooks of all plugins receive it.

For example, if you have an external authenticator app, you do not have to wait for the question to be prompted but can authenticate with a one-time password:

```
ssh otp=123456@root@scb
```

Name subsequent questions with the appropriate number (for example, [question\_1], [question\_2], and so on).

For details, see "[Performing authentication with AA plugin in terminal connections](#)" in the [Administration Guide](#) and "[Performing authentication with AA plugin in Remote Desktop connections](#)" in the [Administration Guide](#).

### prompt

Type:	string
Required:	yes
Default:	N/A

*Description:* The question itself in text format.

## **key**

Type:	string
Required:	yes
Default:	N/A

*Description:* The name of the name-value pair.

## **disable\_echo**

Type:	boolean (yes no)
Required:	no
Default:	no

*Description:* Whether the answer to the question is visible (yes), or replaced with asterisks (no).


# Store sensitive plugin data securely

By default, the configuration of the plugin is stored on SPS in the configuration of SPS. Make sure that you store the sensitive parameters (for example, `api_key`) of the plugin in an encrypted way.

## **To store sensitive plugin data securely**

1. Log in to SPS, navigate to **Policies > Credential Stores** and create a **Local** Credential Store. For details, see "[Configuring local Credential Stores](#)" in the [Administration Guide](#).

Instead of usernames and passwords, you will store the configuration parameters of the plugin in this Credential Store.

2. Add the plugin parameters you want to store in an encrypted way to the Credential Store. You can store any configuration parameter of the plugin in the Credential Store, but note that if an option appears in the Credential Store, the plugin will use it. If the same parameter appears in the configuration of the plugin, it will be ignored.
  - Enter the name of the configuration section without the brackets in the **Host** field (for example, `yubikey`).
  - Enter the name of the plugin parameter in the **Username** field (for example, `api_key`).
  - Enter the value of the plugin parameter in the **Passwords** field.
  - Click  .
3. Navigate to the configuration of the plugin on the **Policies > AA Plugin Configurations** page.
4. In the plugin configuration file, enter the name of the local Credential Store under the `[credential_store]` section as the value of the `name` parameter.
5. Enter `$` as the value of the parameter storing sensitive data.



# Perform multi-factor authentication with the SPS YubiKey plugin in terminal connections

The following describes how to establish a terminal connection (SSH, TELNET, or TN3270) to a server.

## **To establish a terminal connection (SSH, TELNET, or TN3270) to a server**

1. Connect to the server.

If you can authenticate using an OTP or token, encode the OTP as part of the username. You can use the @ as a field separator.

### **Example:**

```
ssh otp=YOUR-ONE-TIME-PASSWORD@user@server
```

Replace YOUR-ONE-TIME-PASSWORD with your actual OTP.

2. If SPS prompts you for further information, enter the requested information. If you need to authenticate with an OTP, but you have not supplied the OTP in your username, you will be prompted to enter the OTP.
3. Authenticate on the server.
4. If authentication is successful, you can access the server.

# Perform multi-factor authentication with the SPS YubiKey plugin in Remote Desktop (RDP) connections

The following section describes how to establish a Remote Desktop (RDP) connection to a server when the **AA plugin** is configured.

## ***To establish a RDP connection to a server when the AA plugin is configured***

1. Open your Remote Desktop client application.
2. If you have to provide additional information to authenticate on the server, you must enter this information in your Remote Desktop client application in the *User name* field, before the regular content (for example, your username) of the field.

If you can authenticate using an OTP or token, encode the OTP as part of the username. To encode additional data, you can use the following special characters:

- % as a field separator
- ~ as the equal sign
- ^ as a colon (for example, to specify the port number or an IPv6 IP address)

### **Example:**

For example, use the following format:

```
domain\otp~YOUR-ONE-TIME-PASSWORD%Administrator
```

Replace YOUR-ONE-TIME-PASSWORD with your actual OTP.

3. Connect to the server.  
If you need to authenticate using a push notification, approve the connection in your mobile app.
4. Authenticate on the server.
5. If authentication is successful, you can access the server.

# Perform multi-factor authentication with the SPS YubiKey plugin in Microsoft SQL Server (MSSQL) connections

The following section describes how to establish a Microsoft SQL Server (MSSQL) connection to a server when the **AA plugin** is configured.

## ***To establish a MSSQL connection to a server when the AA plugin is configured***

1. Open your SQL client application.
2. If you have to provide additional information to authenticate on the server, you must enter this information in your SQL client application in the *User name* field, before the regular content (for example, your username) of the field.

If you can authenticate using an OTP or token, encode the OTP as part of the username. To encode additional data, you can use the following special characters:

- % as a field separator
- ~ as the equal sign
- ^ as a colon (for example, to specify the port number or an IPv6 IP address)

### **Example:**

For example, use the following format:

```
domain\otp~YOUR-ONE-TIME-PASSWORD%Administrator
```

Replace YOUR-ONE-TIME-PASSWORD with your actual OTP.

3. Connect to the server.  
If you need to authenticate using a push notification, approve the connection in your mobile app.
4. Authenticate on the server.
5. If authentication is successful, you can access the server.

# About us

---

One Identity solutions eliminate the complexities and time-consuming processes often required to govern identities, manage privileged accounts and control access. Our solutions enhance business agility while addressing your IAM challenges with on-premises, cloud and hybrid environments.

# Contacting us

For sales and other inquiries, such as licensing, support, and renewals, visit <https://www.oneidentity.com/company/contact-us.aspx>.

# Technical support resources

Technical support is available to One Identity customers with a valid maintenance contract and customers who have trial versions. You can access the Support Portal at <https://support.oneidentity.com/>.

The Support Portal provides self-help tools you can use to solve problems quickly and independently, 24 hours a day, 365 days a year. The Support Portal enables you to:

- Submit and manage a Service Request
- View Knowledge Base articles
- Sign up for product notifications
- Download software and technical documentation
- View how-to videos at [www.YouTube.com/OneIdentity](http://www.YouTube.com/OneIdentity)
- Engage in community discussions
- Chat with support engineers online
- View services to assist you with your product